



BOOST YOUR EFFICIENCY

Keep your documents organized and updated using our web-based platform.

- Manage plan documents & 5500s on one screen
- Keep track of document history and status
- Checklist format enables fast & accurate document creation
- One-click data validation
- Clear navigation of plan provisions
- Documents are drafted and supported by ASC's In-house ERISA Attorneys & Experts

CREATE VIRTUALLY ANY PLAN TYPE WITH EASE

- Pre-approved DC, DB, CB and 403(b) documents
- Individually designed 457(b) Governmental and Tax-Exempt Documents
- Multiple Employer Plan (MEP) and Pooled Employer Plan (PEP) processing feature provides maximum plan design flexibility, including custom SPDs
- Restate or modify all your plan documents in batch
- Flexible document delivery options include: print, email, publish to Plan Sponsor Connect, send for E-sign
- One-click document delivery package - including customizable cover letters

SAVE TIME & REDUCE ERRORS

- Batch generate annual notices, pre-populated forms and interim amendments
- E-Signature functionality with auto-tracking via Adobe Acrobat Sign®
- Flexible API & Custom Admin Docs available to add to your DGEM Platform

Manage Plan Documents and 5500 Forms

Document Type	Plan	Version	Status	Date	Hide Old User
C3 PS/401(k) NStd (01-001/002) AA	005	V1.1	executed	09/23/2022	Support
CGTIRA PS/401(k) Prototype AA	005	V1.1	executed	06/06/2009	Admin
Form 5500SF	005	2021	Draft	06/23/2022	Support
PFA PS/401(k) VS AA	005	V1.1	executed	09/23/2022	Support
PFA PS/401(k) VS AA	005	V1.1	executed	09/23/2022	Support

Customized Documents

Upload

Delete

Create New Document

New Plan Doc

New 5500 Form

New PBGC Form

Edit Client

Edit C3 PS/401(k) NStd (01-001/002) AA - A1 Automotive

Back Finalize Clear Validate Save Save & Next

GENERAL INFORMATION

To order to save changes, click the save button.

ACCOUNT ID (optional)

DOES THE EMPLOYER COMPLY WITH ANOTHER RETIREMENT PLAN?

Yes

No

Profit Sharing Defined Benefit

Money Purchase Target Benefit

401(k) SIMPLE IRA

SARSEP 403(b) Plan (TSA)

457 Plan SEP

TYPES OF CONTRIBUTIONS PROVIDED UNDER THE PLAN

Salary Deferrals

Matching Contributions

Nonqualified Safe Harbor Employee

QACA Employee

QACA Non-Participant

Limbo After T

Tracked QACA

Safe Harbor and Annual Notices Wizard

Annual Notices C3 401(k):

Notice of Safe Harbor Contribution 401(k) / Automatic Defe

Next

Annual Notices C3 401(k) Gov:

Next

PLAN DOCUMENTS WE OFFER

Cycle 3 Defined Contribution Plans:

- Profit Sharing/401(k) – Standardized (Full or Collapsed AAs)
 - Profit Sharing/401(k) – (Full or Collapsed AAs)*
 - Owners Only 401(k)*
 - Money Purchase Pension*
 - Governmental Profit Sharing/Grandfathered 401(k)*
 - Governmental Money Purchase*
 - ESOP/KSOP (Leveraged & Nonleveraged)*
 - Non-electing Church Profit Sharing/401(k)*
 - Non-electing Church Money Purchase Pension*
- *Nonstandardized*

Cycle 3 Defined Benefit & Cash Balance Plans:

- Defined Benefit (Integrated & Non-integrated)*
 - Cash Balance*
 - Governmental with Cash Balance provisions*
- *Nonstandardized*

More than 150,000 plans utilize an ASC plan document!

Pre-Approved 403(b) Plans*:

- 501(c)(3)
 - Salary Reduction Only
 - Governmental
 - Non-electing Church (without Retirement Income Accounts)
 - Retirement Income Account Church
- *Volume submitter*

**Coming Soon:
Cycle 2 Pre-Approved
403(b) Plans!**

Individually Designed Plans:

- 457(b) Governmental Plan
- 457(b) Tax Exempt Plan

ASC's plan documents are drafted by ASC's team of ERISA experts led by John Griffin, J.D., LL.M. who has over 35 years of industry experience



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