

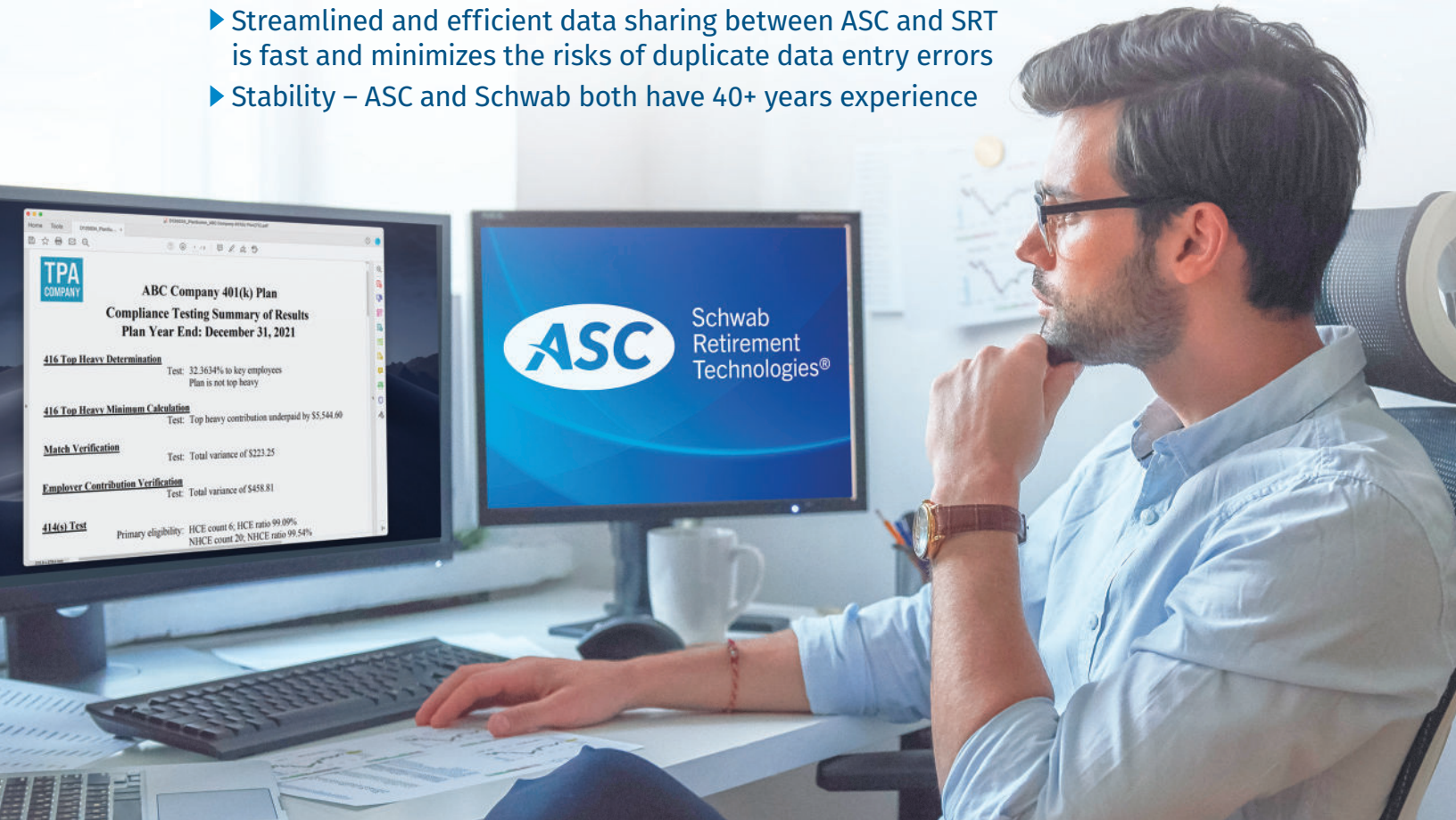


Schwab  
Retirement  
Technologies®

# The ASC/SRT Winning Combination

*Providing you the best Daily Trading, Compliance Testing, and more*

- ▶ With the ASC/Schwab Retirement Technologies (SRT) combination you receive industry leading:
  - Schwab RT Daily Trading: providing open architecture, flexibility, integration with various trading platforms
  - Compliance Testing from ASC accommodates virtually all plans, from the simplest to the most complex
  - Schwab Compliance Management Automation (CMA) Dashboard: manage a block of compliance projects while enabling collaboration with your plan sponsors during the compliance testing process
- ▶ ASC Plan Documents: housed on the DGEM (Document Generation & Management) System so you reap the benefits of ultimate automation and organization. Plus all documents are drafted by ASC's in-house ERISA experts
- ▶ Streamlined and efficient data sharing between ASC and SRT is fast and minimizes the risks of duplicate data entry errors
- ▶ Stability – ASC and Schwab both have 40+ years experience



**Retirement plan software, documents & 5500 forms**

info@asc-net.com | www.asc-net.com | (800) 950-2082 x1





# Your Winning Combination

*Seamless Integration Between ASC and Schwab RT*

## SRT Daily Trading Platform & More

- **Recordkeepers & Administrators:** Receive custom administration and automation for many labor-intensive, repetitive tasks, saving time, conserving resources, allowing you to devote more time to growing your business. Offers select 3rd party services at reduced cost.
- **Plan Sponsors & Financial advisors:** Receive robust options for analyzing the wellness of your plans, accessing published reports & automation dashboards, fulfilling participant fee disclosure requirements, and outsourcing the printing and mailing of participant statements. Provides financial advisors with enhanced reporting capabilities.
- **Plan Participants:** Receive individualized retirement saving strategies, automatic deferral increase options, mobile phone apps, automatic email notifications and other special features.

## The ASC/SRT Focus on Your Success Factor

- **Our Focus on You:** We can solutionize with you because our Sales & Support teams are comprised of Retirement Plan Industry professionals who understand your industry, challenges and goals.
- **The ASC & SRT Experience Factor:** ASC's Support and Training team members average 20 years of industry and ASC experience. We're in the unique position to service a huge range of clients from the start-up to the largest firms in the industry. And SRT has over 35 years experience.
- **Our Leadership:** Both ASC and SRT are industry leaders in both product innovation and outstanding client service.

- You can choose to host ASC in-house or on SRT's ASP (Application Service Provider). Both hosting options provide integration between Schwab RT and ASC Compliance Testing.
- Access to and integration with ASC 5500s and DGEM Plan Docs are available with the Schwab RT / ASC Compliance Testing combination.
- A pre-programmed link integrates the Schwab RT data into ASC's Compliance Testing System.
- Compliance Testing Corrective actions can be imported back into Schwab RT for processing.
- Non-Daily DC Schwab RT plans can also be processed in ASC's Compliance Testing System.

## ASC Products & ERISA Experienced Tech Support

### Compliance Testing

- Functionality accommodates simple to complex plans without having to go 'outside' the system
- Budget/Auto-Solve, flexible correction methods
- Side-by-Side ER Calc Illustrations
- Auto-Enrollment Reports and more

### Plan Docs – A Document Management System

Drafted & supported by ASC's in-house ERISA Experts, including John Griffin J.D., & LL.M. & Rich Hochman, J.D.

- Time savings and easy organization because documents are created within the automated DGEM (Document Generation & Management) System.
- Integration with Compliance Testing System ensures provisions are in synch and plans are being run in compliance with document provisions.

### ASC's Full Suite of Retirement Plan Products & Services – for the rest of your needs

- Automated 5500 filings - housed within the DGEM (Document Generation & Management) System
- Defined Benefit System, CRMW's, and more

### ERISA Experienced Tech Support

- Speak with a Support Team who understands your business. Everyone in ASC's technical support team had at least 10 years Retirement Plan Industry experience as an ASC user prior to joining ASC.

Contact ASC: [info@asc-net.com](mailto:info@asc-net.com) | [www.asc-net.com](http://www.asc-net.com) | (800) 950-2082 x1

Contact Schwab Retirement Technologies: [schwabrt@schwab.com](mailto:schwabrt@schwab.com) | [schwabrt.com](http://schwabrt.com) | (800) 724-7256